

1613 U.S. PTO  
07/03/03

# UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.  
20003-7013

17538 U.S. PRO  
10/613515  
07/03/03

## TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, Scott M. Whitney, entitled SYSTEM, METHOD AND COMPUTER MEDIUM FOR TRADING INTERFACE, for a(n):

(X) Original Patent Application.

( ) Continuing Application (prior application not abandoned):

( ) Continuation ( ) Divisional ( ) Continuation-in-part (CIP)  
of prior application No: \_\_\_\_\_ Filed on: \_\_\_\_\_.

( ) A statement claiming priority under 35 USC § 120 has been added to the specification.

Enclosed are:

(X) Specification; 39 Total Pages. (X) Drawing(s); 14 Total Sheets.

( ) Oath or Declaration:

( ) A Newly Executed Combined Declaration and Power of Attorney:

( ) Signed. ( ) Unsigned. ( ) Partially Signed.

( ) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).

( ) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.

( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).

( ) Power of Attorney. (X) Return Receipt Postcard.

( ) Associate Power of Attorney. ( ) A Check in the amount of \$ \_\_\_\_\_ for the Filing Fee.

( ) Preliminary Amendment. ( ) Information Disclosure Statement and Form PTO-1449.

( ) A Duplicate Copy of this Form for Processing Fee Against Deposit Account.

( ) A Certified Copy of Priority Documents (if foreign priority is claimed).

(X) Applicant claims small entity status.

( ) Other: \_\_\_\_\_.

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	19	0	\$9.00	\$ 0.00
Independent Claims	7	4	\$42.00	\$ 168.00
Multiple Dependent Claims (if applicable)				\$0.
Assignment Recording Fee				\$0.
Basic Filing Fee				\$375.
Total Filing Fee				\$ 543.

Charge \$ \_\_\_\_\_ to Deposit Account \_\_\_\_\_ pursuant to 37 CFR § 1.25. At any time during the pendency of this application, please charge any fees required or credit any overpayment to this Deposit Account.

Respectfully submitted,

By: Michael E. Woods

Michael E. Woods, Attorney of Record, Reg. No.33466

Date: 3 July 2003

Correspondence Address:

Patent Law Offices of Michael E. Woods  
89 Corte Cayuga  
Greenbrae, CA 94904-1307  
Phone: (415) 925-9399  
Fax: (415) 925-9394

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

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